

Substitute for Form 1449 A & B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>NOV 03 2003 (use as many sheets as necessary)</small>		Application Number	10/631,107
		Confirmation Number	7745
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		First Named Inventor	Michael John HODGSON
		Art Unit	3629
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	CA1169

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
/SLN/		<b>The London Securities &amp; Derivatives Exchange (OMLX) (1996); Contract terms of German Volatility Futures, Swedish Volatility Futures, and United Kingdom Volatility Futures, (VOLX futures)</b>	
/SLN/		<b>International Financing Review</b> (23 November 1996 – page 122); "On the VOLX wagon" ✓	
/SLN/		<b>Eurexchange Trading Conditions</b> (Version 21 December 1998); Struckthrough Sub Subpart 2.1.4: "Specifications for Futures Contracts on the Implied Three Month at-the-money Volatility of Options on the German Stock Index (DAX) (VOLAX Futures)"; (Accessible on <a href="http://www.eurexchange.com/tradconditions/history_upd21121998.html">http://www.eurexchange.com/tradconditions/history_upd21121998.html</a> )	
/SLN/		<b>Deutsche-Börse Group; "Volatility Index VDAX® Short Info"</b> ✓	

Examiner Signature	/Samica L. Norman/	Date Considered	10/25/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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